National Survey of Substance Abuse Treatment Services (N-SSATS)

March 29, 2013

Substance Abuse and Mental Health Services Administration (SAMHSA)

PLEASE REVIEW THE FACILITY INFORMATION PRINTED ABOVE. CROSS OUT ERRORS AND ENTER CORRECT OR MISSING INFORMATION.

CHECK ONE

■ Information is complete and correct, no changes needed
■ All missing or incorrect information has been corrected
INSTRUCTIONS

- Most of the questions in this survey ask about “this facility.” By “this facility” we mean the specific treatment facility or program whose name and location are printed on the front cover. If you have any questions about how the term “this facility” applies to your facility, please call 1-888-324-8337.

- Please answer ONLY for the specific facility or program whose name and location are printed on the front cover, unless otherwise specified in the questionnaire.

- If the questionnaire has not been completed online, return the completed questionnaire in the envelope provided. Please keep a copy for your records.

- For additional information about this survey and definitions of some of the terms used, please visit our website at http://info.nssats.com.

- If you have any questions or need additional blank forms, contact:

  MATHEMATICA POLICY RESEARCH
  1-888-324-8337
  NSSATSWeb@mathematica-mpr.com

IMPORTANT INFORMATION

* Asterisked questions. Information from asterisked (*) questions will be published in SAMHSA's National Directory of Drug and Alcohol Abuse Treatment Programs and will be available online at http://findtreatment.samhsa.gov, SAMHSA's Substance Abuse Treatment Facility Locator.

Mapping feature in Locator. Complete and accurate name and address information is needed for the online Treatment Facility Locator so it can correctly map the facility location.

Eligibility for Directory/Locator. Only facilities designated as eligible by their state substance abuse office will be listed in the National Directory and online Treatment Facility Locator. Your state N-SSATS representative can tell you if your facility is eligible to be listed in the Directory/Locator. For the name and telephone number of your state representative, call the N-SSATS helpline at 1-888-324-8337.
**SECTION A: FACILITY CHARACTERISTICS**

Section A asks about characteristics of individual facilities and should be completed for this facility only, that is, the treatment facility or program at the location listed on the front cover.

1. Which of the following substance abuse services are offered by this facility at this location, that is, the location listed on the front cover?

<table>
<thead>
<tr>
<th>Service</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Intake, assessment, or referral</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Detoxification</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Substance abuse treatment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Any other substance abuse services</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2. Did you answer “yes” to detoxification in option 2 of question 1 above?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

3. Did you answer “yes” to substance abuse treatment in option 3 of question 1?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

4. Is this facility operated by . . .

<table>
<thead>
<tr>
<th>Option</th>
</tr>
</thead>
<tbody>
<tr>
<td>A private for-profit organization</td>
</tr>
<tr>
<td>A private non-profit organization</td>
</tr>
<tr>
<td>State government</td>
</tr>
<tr>
<td>Local, county, or community government</td>
</tr>
<tr>
<td>Tribal government</td>
</tr>
<tr>
<td>Federal Government</td>
</tr>
</tbody>
</table>

4a. Which Federal Government agency?

<table>
<thead>
<tr>
<th>Agency</th>
</tr>
</thead>
<tbody>
<tr>
<td>Department of Veterans Affairs</td>
</tr>
<tr>
<td>Department of Defense</td>
</tr>
<tr>
<td>Indian Health Service</td>
</tr>
<tr>
<td>Other (Specify:)</td>
</tr>
</tbody>
</table>

5. Is this facility a solo practice, meaning, an office with only one independent practitioner or counselor?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

6. Is this facility affiliated with a religious organization?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

7. Is this facility a jail, prison, or other organization that provides treatment exclusively for incarcerated persons or juvenile detainees?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>

8. Is this facility a hospital or located in or operated by a hospital?

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
</tr>
</tbody>
</table>
8a. **What type of hospital?**

**MARK ONE ONLY**
1. [ ] General hospital *(including VA hospital)*
2. [ ] Psychiatric hospital
3. [ ] Other specialty hospital, for example, alcoholism, maternity, etc.
   
   *(Specify: ________________________________)*

9. **What telephone number(s) should a potential client call to schedule an intake appointment?**

1. (______) ________ - ____________ ext._____
2. (______) ________ - ____________ ext._____

10. **Which of the following services are provided by this facility at this location, that is, the location listed on the front cover?**

   **MARK ALL THAT APPLY**

   **Assessment and Pre-Treatment Services**
   1. [ ] Screening for substance abuse
   2. [ ] Screening for mental health disorders
   3. [ ] Comprehensive substance abuse assessment or diagnosis
   4. [ ] Comprehensive mental health assessment or diagnosis *(for example, psychological or psychiatric evaluation and testing)*
   5. [ ] Screening for tobacco use
   6. [ ] Outreach to persons in the community who may need treatment
   7. [ ] Interim services for clients when immediate admission is not possible
   8. [ ] We do not offer any of these assessment and pre-treatment services

   **Testing** *(Include tests performed at this location, even if specimen is sent to an outside source for chemical analysis.)*
   9. [ ] Breathalyzer or other blood alcohol testing
   10. [ ] Drug or alcohol urine screening
   11. [ ] Screening for Hepatitis B
   12. [ ] Screening for Hepatitis C
   13. [ ] HIV testing
   14. [ ] STD testing
   15. [ ] TB screening
   16. [ ] We do not offer any of these testing services

   **Transitional Services**
   17. [ ] Discharge planning
   18. [ ] Aftercare/continuing care
   19. [ ] We do not offer any of these transitional services

   **Ancillary Services**
   20. [ ] Case management services
   21. [ ] Social skills development
   22. [ ] Mentoring/peer support
   23. [ ] Child care for clients’ children
   24. [ ] Assistance with obtaining social services *(for example, Medicaid, WIC, SSI, SSDI)*
   25. [ ] Employment counseling or training for clients
   26. [ ] Assistance in locating housing for clients
   27. [ ] Domestic violence—family or partner violence services *(physical, sexual, and emotional abuse)*
   28. [ ] Early intervention for HIV
   29. [ ] HIV or AIDS education, counseling, or support
   30. [ ] Hepatitis education, counseling, or support
   31. [ ] Health education other than HIV/AIDS or hepatitis
   32. [ ] Substance abuse education
   33. [ ] Transportation assistance to treatment
   34. [ ] Mental health services
   35. [ ] Acupuncture
   36. [ ] Residential beds for clients’ children
   37. [ ] Self-help groups *(for example, AA, NA, SMART Recovery)*
   38. [ ] Smoking cessation counseling
   39. [ ] We do not offer any of these ancillary services

   **Other Services**
   40. [ ] Treatment for gambling disorder
   41. [ ] Treatment for Internet use disorder
   42. [ ] Treatment for other addiction disorder *(non-substance abuse)*
   43. [ ] We do not offer any of these other services

   **Pharmacotherapies**
   44. [ ] Disulfiram *(Antabuse®)*
   45. [ ] Naltrexone *(oral)*
   46. [ ] Vivitrol® *(injectable Naltrexone)*
   47. [ ] Acamprosate *(Campral®)*
   48. [ ] Nicotine replacement
   49. [ ] Non-nicotine smoking/tobacco cessation medications *(for example, Bupropion, Varenicline)*
   50. [ ] Medications for psychiatric disorders
   51. [ ] Methadone
   52. [ ] Buprenorphine with naloxone *(Suboxone®)*
   53. [ ] Buprenorphine without naloxone
   54. [ ] We do not offer any of these pharmacotherapy services
*11. Does this facility operate an Opioid Treatment Program (OTP) at this location?

- OTPs are certified by SAMHSA’s Center for Substance Abuse Treatment (CSAT) to dispense the opioid drugs methadone and Buprenorphine in the treatment of opioid (narcotic) addiction.
- Some SAMHSA-certified OTPs dispense only Buprenorphine in the treatment of opioid (narcotic) addiction.
- Physicians with a waiver may prescribe Buprenorphine without being affiliated with an OTP. Therefore, not all facilities that prescribe buprenorphine are OTPs.

1 □ Yes, facility operates an OTP
0 □ No --- SKIP TO Q.12 (NEXT COLUMN)

*11a. Are ALL of the substance abuse clients at this facility currently in the Opioid Treatment Program?

1 □ Yes
0 □ No --- GO TO Q.11b (NEXT COLUMN)

*11b. Does the Opioid Treatment Program at this location provide maintenance services, detoxification services, or both?

MARK ONE ONLY
1 □ Maintenance services
2 □ Detoxification services
3 □ Both

12. For each type of counseling listed below, please indicate approximately what percent of the substance abuse clients at this facility receive that type of counseling as part of their substance abuse treatment program.

<table>
<thead>
<tr>
<th>TYPE OF COUNSELING</th>
<th>NOT OFFERED</th>
<th>RECEIVED BY 25% OF CLIENTS</th>
<th>RECEIVED BY 26% TO 50% OF CLIENTS</th>
<th>RECEIVED BY 51% TO 75% OF CLIENTS</th>
<th>RECEIVED BY MORE THAN 75% OF CLIENTS</th>
<th>Not Familiar With This Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Individual counseling</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
<td>5 □</td>
</tr>
<tr>
<td>2. Group counseling</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
<td>5 □</td>
</tr>
<tr>
<td>3. Family counseling</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
<td>5 □</td>
</tr>
<tr>
<td>4. Marital/couples counseling</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
<td>5 □</td>
</tr>
</tbody>
</table>

13. For each type of clinical/therapeutic approach listed below, please mark the box that best describes how often that approach is used at this facility.

- Definitions of these approaches can be found at: http://info.nssats.com

<table>
<thead>
<tr>
<th>CLINICAL/ THERAPEUTIC APPROACHES</th>
<th>Never</th>
<th>Rarely</th>
<th>Sometimes</th>
<th>Always or Often</th>
<th>Not Familiar With This Approach</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Substance abuse counseling</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>2. 12-step facilitation</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>3. Brief intervention</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>4. Cognitive-behavioral therapy</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>5. Contingency management/motivational incentives</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>6. Motivational interviewing</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>7. Trauma-related counseling</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>8. Anger management</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>9. Matrix Model</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>10. Community reinforcement plus vouchers</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>11. Rational emotive behavioral therapy (REBT)</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>12. Relapse prevention</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>*13. Computerized substance abuse treatment/telemedicine (including Internet, Web, mobile, and desktop programs)</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
<tr>
<td>14. Other treatment approach (Specify:____________________)</td>
<td></td>
<td>1 □</td>
<td>2 □</td>
<td>3 □</td>
<td>4 □</td>
</tr>
</tbody>
</table>
14. Are any of the following practices part of this facility's standard operating procedures? MARK ALL THAT APPLY
1. ☐ Required continuing education for staff
2. ☐ Periodic drug testing of clients
3. ☐ Regularly scheduled case review with a supervisor
4. ☐ Case review by an appointed quality review committee
5. ☐ Outcome follow-up after discharge
6. ☐ Periodic utilization review
7. ☐ Periodic client satisfaction surveys conducted by the facility
8. ☐ None of these practices are part of the standard operating procedures

15. Does this facility, at this location, offer a specially designed program or group intended exclusively for DUI/DWI or other drunk driver offenders? ☐ Yes 0 ☐ No → SKIP TO Q.16 (BELOW)

15a. Does this facility serve only DUI/DWI clients? ☐ Yes 0 ☐ No

16. Does this facility provide substance abuse treatment services in sign language at this location for the hearing impaired (for example, American Sign Language, Signed English, or Cued Speech)?
- Mark “yes” if either a staff counselor or an on-call interpreter provides this service.
☐ Yes 0 ☐ No

17. Does this facility provide substance abuse treatment services in a language other than English at this location? ☐ Yes 0 ☐ No → SKIP TO Q.18 (PAGE 5)

17a. At this facility, who provides substance abuse treatment services in a language other than English? MARK ONE ONLY
1. ☐ Staff counselor who speaks a language other than English
2. ☐ On-call interpreter (in person or by phone) brought in when needed → SKIP TO Q.18 (PAGE 5)
3. ☐ BOTH staff counselor and on-call interpreter

17a1. Do staff counselors provide substance abuse treatment in Spanish at this facility?
1. ☐ YES
0. ☐ NO → SKIP TO Q.17b (BELOW)

17a2. Do staff counselors at this facility provide substance abuse treatment in any other languages?
1. ☐ YES
0. ☐ NO → SKIP TO Q.18 (PAGE 5)

17b. In what other languages do staff counselors provide substance abuse treatment at this facility?
- Do not count languages provided only by on-call interpreters.

MARK ALL THAT APPLY
American Indian or Alaska Native:
1. ☐ Hopi
2. ☐ Lakota
3. ☐ Navajo
4. ☐ Ojibwa
5. ☐ Yupik
6. ☐ Other American Indian or Alaska Native language (Specify:______________________________)

Other Languages:
7. ☐ Arabic
8. ☐ Any Chinese language
9. ☐ Creole
10. ☐ French
11. ☐ German
12. ☐ Greek
13. ☐ Hmong
14. ☐ Italian
15. ☐ Japanese
16. ☐ Korean
17. ☐ Polish
18. ☐ Portuguese
19. ☐ Russian
20. ☐ Tagalog
21. ☐ Vietnamese
22. ☐ Any other language (Specify:______________________________)
**18.** Individuals seeking substance abuse treatment can vary by age, gender or other characteristics. Which categories of individuals listed below are served by this facility, at this location?

<table>
<thead>
<tr>
<th>Type of Client</th>
<th>MARK YES OR NO FOR EACH CATEGORY</th>
<th>MARK YES OR NO FOR EACH CATEGORY</th>
<th>MARK YES OR NO FOR EACH CATEGORY</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SERVED BY THIS FACILITY</td>
<td>THIS FACILITY SERVES ONLY</td>
<td>OFFERS SPECIFICALLY TAILORED PROGRAMS OR GROUPS</td>
</tr>
<tr>
<td>1. Adolescents</td>
<td>![YES] [0]</td>
<td>![YES] [0]</td>
<td>![YES] [0]</td>
</tr>
<tr>
<td>2. Adult women</td>
<td>![YES] [0]</td>
<td>![YES] [0]</td>
<td>![YES] [0]</td>
</tr>
<tr>
<td>3. Adult men</td>
<td>![YES] [0]</td>
<td>![YES] [0]</td>
<td>![YES] [0]</td>
</tr>
</tbody>
</table>

*18a. Many facilities have clients with one or more of the following characteristics. For which characteristic(s) does this facility offer a substance abuse treatment program or group specifically tailored for those individuals, at this location? If you treat these clients for substance abuse but do not have a specifically tailored program or group for them, do not check.

**MARK ALL THAT APPLY**

1. Pregnant/postpartum women
2. Seniors or older adults
3. Young adults
4. Lesbian, gay, bisexual, transgender (LGBT) clients
5. Veterans
6. Active duty military
7. Members of military families
8. Criminal justice clients *other than DUI/DWI*
9. Clients with co-occurring mental and substance abuse disorders
10. Persons with HIV or AIDS
11. Persons who have experienced sexual abuse
12. Persons who have experienced intimate partner violence, domestic violence
13. Persons who have experienced trauma
14. Specifically tailored programs or groups for any other types of clients

(Specify below: ________________________________________________)
15. No specifically tailored programs or groups are offered

*19. Does this facility offer HOSPITAL INPATIENT substance abuse services at this location, that is, the location listed on the front cover?*

- [ ] Yes
- [ ] No → **SKIP TO Q.20 (PAGE 6)**

*19a. Which of the following HOSPITAL INPATIENT services are offered at this facility?*

<table>
<thead>
<tr>
<th>MARK “YES” OR “NO” FOR EACH</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Hospital inpatient detoxification</td>
</tr>
<tr>
<td>(Similar to ASAM Levels IV-D and III.7-D, medically managed or monitored inpatient detoxification)</td>
</tr>
<tr>
<td>2. Hospital inpatient treatment</td>
</tr>
<tr>
<td>(Similar to ASAM Levels IV and III.7, medically managed or monitored intensive inpatient treatment)</td>
</tr>
</tbody>
</table>

**NOTE:** ASAM is the American Society of Addiction Medicine. 
For more information on ASAM please visit [http://info.nssats.com](http://info.nssats.com).
**20.** Does this facility offer RESIDENTIAL (non-hospital) substance abuse services at this location, that is, the location listed on the front cover?

1 □ Yes
0 □ No → **SKIP TO Q.21 (BELOW)**

**20a.** Which of the following RESIDENTIAL services are offered at this facility?

**MARK “YES” OR “NO” FOR EACH**

**YES**  **NO**

1. Residential detoxification ...................... 1 □  0 □
   (Similar to ASAM Level III.2-D, clinically managed residential detoxification or social detoxification)

2. Residential short-term treatment .............. 1 □  0 □
   (Similar to ASAM Level III.5, clinically managed high-intensity residential treatment, typically 30 days or less)

3. Residential long-term treatment .............. 1 □  0 □
   (Similar to ASAM Levels III.3 and III.1, clinically managed medium- or low-intensity residential treatment, typically more than 30 days)

**21.** Does this facility offer OUTPATIENT substance abuse services at this location, that is, the location listed on the front cover?

1 □ Yes
0 □ No → **SKIP TO Q.22 (TOP OF NEXT COLUMN)**

**21a.** Which of the following OUTPATIENT services are offered at this facility?

**MARK “YES” OR “NO” FOR EACH**

**YES**  **NO**

1. Outpatient detoxification ...................... 1 □  0 □
   (Similar to ASAM Levels I-D and II-D, ambulatory detoxification)

2. Outpatient methadone/Buprenorphine maintenance or Vivitrol® treatment ....... 1 □  0 □

3. Outpatient day treatment or partial hospitalization ...................... 1 □  0 □
   (Similar to ASAM Level II.5, 20 or more hours per week)

4. Intensive outpatient treatment .............. 1 □  0 □
   (Similar to ASAM Level II.1, 9 or more hours per week)

5. Regular outpatient treatment .................. 1 □  0 □
   (Similar to ASAM Level I, outpatient treatment, non-intensive)

**22.** Does this facility use a sliding fee scale?

1 □ Yes
0 □ No → **SKIP TO Q.23 (BELOW)**

22a. Do you want the availability of a sliding fee scale published in SAMHSA’s Directory/Locator?

(For information on Directory/Locator eligibility, see the inside front cover.)

- The Directory/Locator will explain that sliding fee scales are based on income and other factors.

1 □ Yes
0 □ No

**23.** Does this facility offer treatment at no charge to clients who cannot afford to pay?

1 □ Yes
0 □ No → **SKIP TO Q.24 (BELOW)**

23a. Do you want the availability of free care for eligible clients published in SAMHSA’s Directory/Locator?

- The Directory/Locator will explain that potential clients should call the facility for information on eligibility.

1 □ Yes
0 □ No

**24.** Does this facility receive any funding or grants from the Federal Government, or state, county or local governments, to support its substance abuse treatment programs?

- Do not include Medicare, Medicaid, or federal military insurance. These forms of client payments are included in Q.25 on next page.

1 □ Yes
0 □ No
d □ Don’t Know
25. Which of the following types of client payments or insurance are accepted by this facility for substance abuse treatment?

MARK “YES,” “NO,” OR “DON’T KNOW” FOR EACH

<table>
<thead>
<tr>
<th></th>
<th>YES</th>
<th>NO</th>
<th>DON’T KNOW</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. No payment accepted <em>(free treatment for ALL clients)</em></td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
<tr>
<td>2. Cash or self-payment</td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
<tr>
<td>3. Medicare</td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
<tr>
<td>4. Medicaid</td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
<tr>
<td>5. State-financed health insurance plan other than Medicaid</td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
<tr>
<td>6. Federal military insurance <em>(e.g., TRICARE)</em></td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
<tr>
<td>7. Private health insurance</td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
<tr>
<td>8. Access To Recovery <em>(ATR)</em> vouchers</td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
<tr>
<td>9. IHS/638 contract care funds</td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
<tr>
<td>10. Other</td>
<td>☐</td>
<td>0</td>
<td>☐</td>
</tr>
</tbody>
</table>

(Specify: __________________________________________________)

26. For each of the following activities, please indicate if staff members routinely use computer or electronic resources, paper only, or a combination of both to accomplish their work.

<table>
<thead>
<tr>
<th>WORK ACTIVITY</th>
<th>MARK ONE METHOD FOR EACH ACTIVITY</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>COMPUTER/ ELECTRONIC ONLY</td>
</tr>
<tr>
<td>1. Intake</td>
<td>☐</td>
</tr>
<tr>
<td>2. Assessment</td>
<td>☐</td>
</tr>
<tr>
<td>3. Treatment plan</td>
<td>☐</td>
</tr>
<tr>
<td>4. Discharge</td>
<td>☐</td>
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<tr>
<td>5. Referrals</td>
<td>☐</td>
</tr>
<tr>
<td>6. Issue/receive lab results</td>
<td>☐</td>
</tr>
<tr>
<td>7. Billing</td>
<td>☐</td>
</tr>
<tr>
<td>8. Outcomes management</td>
<td>☐</td>
</tr>
<tr>
<td>9. Medication prescribing/dispensing</td>
<td>☐</td>
</tr>
<tr>
<td>10. Health records</td>
<td>☐</td>
</tr>
<tr>
<td>11. Interoperability with other providers <em>(such as primary care, mental health providers, criminal justice, etc.)</em></td>
<td>☐</td>
</tr>
</tbody>
</table>
27. Questions 28 through 33 ask about the number of clients in treatment. If possible, report clients for this facility only. However, we realize that is not always possible. Please indicate whether the clients you report will be for . . .

MARK ONE ONLY

1. Only this facility → SKIP TO Q.28 (TOP OF NEXT COLUMN)

2. This facility plus others

3. Another facility will report this facility’s client counts → SKIP TO Q.34 (PAGE 11)

27a. How many facilities will be included in your client counts?

<table>
<thead>
<tr>
<th>THIS FACILITY</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>+ ADDITIONAL FACILITIES</td>
<td></td>
</tr>
<tr>
<td>TOTAL FACILITIES†</td>
<td></td>
</tr>
</tbody>
</table>

† For Section B, please include all of these facilities in the client counts that you report in questions 28 through 33.

27b. To avoid double-counting clients, we need to know which facilities are included in your counts. How will you report this information to us?

MARK ONE ONLY

1. By listing the names and location addresses of these additional facilities in the “Additional Facilities Included in Client Counts” section on page 13 of this questionnaire or attaching a sheet of paper to this questionnaire

2. Please call me for a list of the additional facilities included in these counts

28. On March 29, 2013, did any patients receive HOSPITAL INPATIENT substance abuse services at this facility?

| 1. Yes |
| 0. No → SKIP TO Q.29 (PAGE 9) |

28a. On March 29, 2013, how many patients received the following HOSPITAL INPATIENT substance abuse services at this facility?

- **COUNT** a patient in one service only, even if the patient received both services.
- **DO NOT** count family members, friends, or other non-treatment patients.

ENTER A NUMBER FOR EACH (IF NONE, ENTER “0”)

1. Hospital inpatient detoxification _____________
   (Similar to ASAM Levels IV-D and III.7-D, medically managed or monitored inpatient detoxification)

2. Hospital inpatient treatment _____________
   (Similar to ASAM Levels IV and III.7, medically managed or monitored intensive inpatient treatment)

HOSPITAL INPATIENT TOTAL BOX

28b. How many of the patients from the HOSPITAL INPATIENT TOTAL BOX were under the age of 18?

ENTER A NUMBER (IF NONE, ENTER “0”)

Number under age 18 _____________
28c. How many of the patients from the HOSPITAL INPATIENT TOTAL BOX received:

- Include patients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER “0”)

1. Methadone dispensed at this facility
2. Buprenorphine dispensed or prescribed at this facility
3. Vivitrol® administered at this facility

28d. On March 29, 2013, how many hospital inpatient beds were specifically designated for substance abuse treatment?

ENTER A NUMBER
(IF NONE, ENTER “0”)

Number of beds

RESIDENTIAL (NON-HOSPITAL) CLIENT COUNTS

29. On March 29, 2013, did any clients receive RESIDENTIAL (non-hospital) substance abuse services at this facility?

[ ] ☐ Yes

[ ] ☐ No ➞ SKIP TO Q.30 (PAGE 10)

29a. On March 29, 2013, how many clients received the following RESIDENTIAL substance abuse services at this facility?

- COUNT a client in one service only, even if the client received multiple services.
- DO NOT count family members, friends, or other non-treatment clients.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER “0”)

1. Residential detoxification
   (Similar to ASAM Level III.2-D, clinically managed residential detoxification or social detoxification)
2. Residential short-term treatment
   (Similar to ASAM Level III.5, clinically managed high-intensity residential treatment, typically 30 days or less)
3. Residential long-term treatment
   (Similar to ASAM Levels III.3 and III.1, clinically managed medium- or low-intensity residential treatment, typically more than 30 days)

RESIDENTIAL TOTAL BOX

29b. How many of the clients from the RESIDENTIAL TOTAL BOX were under the age of 18?

ENTER A NUMBER
(IF NONE, ENTER “0”)

Number under age 18

29c. How many of the clients from the RESIDENTIAL TOTAL BOX received:

- Include clients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER “0”)

1. Methadone dispensed at this facility
2. Buprenorphine dispensed or prescribed at this facility
3. Vivitrol® administered at this facility

29d. On March 29, 2013, how many residential beds were specifically designated for substance abuse treatment?

ENTER A NUMBER
(IF NONE, ENTER “0”)

Number of beds
30. During the month of March 2013, did any clients receive OUTPATIENT substance abuse services at this facility?

1. Yes
0. No ➔ SKIP TO Q.31 (PAGE 11)

30a. How many clients received each of the following OUTPATIENT substance abuse services at this facility during March 2013?

- ONLY INCLUDE clients who received treatment in March AND were still enrolled in treatment on March 29, 2013.

- COUNT a client in one service only, even if the client received multiple services.

- DO NOT count family members, friends, or other non-treatment clients.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER “0”)

1. Outpatient detoxification
   (Similar to ASAM Levels I-D and II-D, ambulatory detoxification)

2. Outpatient methadone/Buprenorphine maintenance or Vivitrol® treatment
   (Count methadone/Buprenorphine/Vivitrol® clients on this line only)

3. Outpatient day treatment or partial hospitalization
   (Similar to ASAM Level II.5, 20 or more hours per week)

4. Intensive outpatient treatment
   (Similar to ASAM Level II.1, 9 or more hours per week)

5. Regular outpatient treatment
   (Similar to ASAM Level I, outpatient treatment, non-intensive)

OUTPATIENT TOTAL BOX

30b. How many of the clients from the OUTPATIENT TOTAL BOX were under the age of 18?

ENTER A NUMBER
(IF NONE, ENTER “0”)

Number under age 18

30c. How many of the clients from the OUTPATIENT TOTAL BOX received:

- Include clients who received these drugs for detoxification or maintenance purposes.

ENTER A NUMBER FOR EACH
(IF NONE, ENTER “0”)

1. Methadone dispensed at this facility

2. Buprenorphine dispensed or prescribed at this facility

3. Vivitrol® administered at this facility

30d. On average, during March 2013, were the outpatient substance abuse treatment services at this facility operating over, under, or at capacity?

MARK ONE ONLY

1. Well over capacity (over 120%)
2. Somewhat over capacity (106 to 120%)
3. At or about capacity (95 to 105%)
4. Somewhat under capacity (80 to 94%)
5. Well under capacity (under 80%)
31. This question asks you to categorize the substance abuse treatment clients at this facility into three groups: clients in treatment for (1) abuse of both alcohol and substances other than alcohol; (2) abuse only of alcohol; or (3) abuse only of substances other than alcohol.

Enter the percent of clients on March 29, 2013, who were in each of these three groups:

Clients in treatment for abuse of:
1. BOTH alcohol and substances other than alcohol ___________%
2. ONLY alcohol ___________%
3. ONLY substances other than alcohol ___________%

TOTAL ___________%

32. Approximately what percent of the substance abuse treatment clients enrolled at this facility on March 29, 2013, had a diagnosed co-occurring mental and substance abuse disorder?

PERCENT OF CLIENTS (IF NONE, ENTER “0”) ___________%

33. Using the most recent 12-month period for which you have data, approximately how many substance abuse treatment ADMISSIONS did this facility have?

OUTPATIENT CLIENTS: Count admissions into treatment, not individual treatment visits. Consider an admission to be the initiation of a treatment program or course of treatment. Count any re-admission as an admission.

IF THIS IS A MENTAL HEALTH FACILITY: Count all admissions in which clients received substance abuse treatment, even if substance abuse was their secondary diagnosis.

NUMBER OF SUBSTANCE ABUSE ADMISSIONS IN A 12-MONTH PERIOD

34. Does this facility operate transitional housing or a halfway house for substance abuse clients at this location, that is, the location listed on the front cover?

☐ Yes
☐ No

35. Which statement below BEST describes this facility’s smoking policy?

MARK ONE ONLY

☐ Smoking is not permitted on the property or within any building
☐ Smoking is permitted only outdoors
☐ Smoking is permitted outdoors and in designated indoor area(s)
☐ Smoking is permitted anywhere without restriction
☐ Other (Specify:________________________)

36. Is this facility or program licensed, certified, or accredited to provide substance abuse services by any of the following organizations?

Do not include personal-level credentials or general business licenses such as a food service license.

MARK “YES,” “NO,” OR “DON’T KNOW” FOR EACH

YES NO DON’T KNOW

1. State substance abuse agency ......1 ☐ 0 ☐ d ☐
2. State mental health department ......1 ☐ 0 ☐ d ☐
3. State department of health ............ 1 ☐ 0 ☐ d ☐
4. Hospital licensing authority ..........1 ☐ 0 ☐ d ☐
5. The Joint Commission .................1 ☐ 0 ☐ d ☐
6. Commission on Accreditation of Rehabilitation Facilities (CARF) ..1 ☐ 0 ☐ d ☐
7. National Committee for Quality Assurance (NCQA) ..........1 ☐ 0 ☐ d ☐
8. Council on Accreditation (COA) ......1 ☐ 0 ☐ d ☐
9. Another state or local agency or other organization .....................1 ☐ 0 ☐ d ☐

(Specify:_______________________________________)
37. Does this facility have a National Provider Identifier (NPI) number?
   - Do NOT include the NPI numbers of individual practitioners and groups of practitioners.

   0 □ No → SKIP TO Q.38 (BELOW)

37a. What is the NPI number for this facility?
   - If a facility has more than one NPI number, please provide only the primary number.

   NPI [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
   (NPI is a 10-digit numeric ID)

*38. Does this facility have a website or web page with information about the facility’s substance abuse treatment programs?

   0 □ No → Please check the front cover of this questionnaire to confirm that the website address for this facility is correct EXACTLY as listed. If incorrect or missing, enter the correct address.

39. If eligible, does this facility want to be listed in the National Directory and online Treatment Facility Locator? (See inside front cover for eligibility information.)

   0 □ No

40. Would you like to receive a free copy of the next National Directory of Drug and Alcohol Abuse Treatment Programs when it is published?

   0 □ No → SKIP TO Q.41 (TOP OF NEXT COLUMN)

40a. Would you prefer to receive a CD or paper copy of the Directory?

   2 □ Paper

41. Who was primarily responsible for completing this form? This information will only be used if we need to contact you about your responses. It will not be published.

   MARK ONE ONLY
   1 □ Ms.  2 □ Mrs.  3 □ Mr.  4 □ Dr.
   5 □ Other (Specify: ____________________________)

   Name: ________________________________
   Title: ________________________________
   Phone Number: (____) ____ - ______ Ext.____
   Fax Number: (____) ____ - ______
   Email Address: _________________________
   Facility Email Address:__________________
ADDITIONAL FACILITIES INCLUDED IN CLIENT COUNTS

Complete this section if you reported clients for this facility plus other facilities, as indicated in Question 27.
For each additional facility, please mark if that facility offers hospital inpatient, residential and/or outpatient substance abuse services at that location.

<table>
<thead>
<tr>
<th>FACILITY NAME:</th>
<th>FACILITY NAME:</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADDRESS:</td>
<td>ADDRESS:</td>
</tr>
<tr>
<td>CITY:</td>
<td>CITY:</td>
</tr>
<tr>
<td>STATE:</td>
<td>STATE:</td>
</tr>
<tr>
<td>PHONE:</td>
<td>PHONE:</td>
</tr>
<tr>
<td>FACILITY EMAIL ADDRESS:</td>
<td>FACILITY EMAIL ADDRESS:</td>
</tr>
<tr>
<td>□ HOSPITAL INPATIENT □ RESIDENTIAL □ OUTPATIENT</td>
<td>□ HOSPITAL INPATIENT □ RESIDENTIAL □ OUTPATIENT</td>
</tr>
</tbody>
</table>

If you require additional space, please continue on the next page.
Pledge to respondents

The information you provide will be protected to the fullest extent allowable under Section 501(n) of the Public Health Service Act (42 USC 290aa(n)). This law permits the public release of identifiable information about an establishment only with the consent of that establishment and limits the use of the information to the purposes for which it was supplied. With the explicit consent of eligible treatment facilities, information provided in response to survey questions marked with an asterisk will be published in SAMHSA’s *National Directory of Drug and Alcohol Abuse Treatment Programs* and the Substance Abuse Treatment Facility Locator. Responses to non-asterisked questions will be published only in statistical summaries so that individual treatment facilities cannot be identified.

Thank you for your participation. Please return this questionnaire in the envelope provided. If you no longer have the envelope, please mail this questionnaire to:

MATHEMATICA POLICY RESEARCH
ATTN: RECEIPT CONTROL - Project 06667
P.O. Box 2393
Princeton, NJ 08543-2393

Public Burden Statement: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0930-0106. Public reporting burden for this collection of information is estimated to average 40 minutes per respondent, per year, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to SAMHSA Reports Clearance Officer, 1 Choke Cherry Road, Room 2-1057, Rockville, Maryland 20857.